

Handwritten initials and #4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: David Trost et al

Assignee: APPLIED MATERIALS, INC.

Title: METHOD AND SYSTEM TO ACHIEVE THERMAL TRANSFER  
BETWEEN A WORKPIECE AND A HEATED BODY DISPOSED IN A  
CHAMBER

Serial No.: 09/838,126

Examiner: Not Yet Assigned

Filing Date: April 20, 2001

Group Art Unit: 1756

Santa Clara, California

Box: MISSING PARTS  
COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION -  
FILING DATE GRANTED**

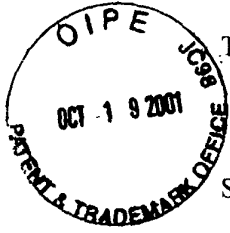
Sir:

In response to the Notice to File Missing Parts of Application - Filing Date Granted mailed by the United States Patent and Trademark Office on **August 15, 2001**, the following documents are enclosed to complete the filing of the above-identified patent application:

1. A combined declaration and power of attorney signed by the inventor(s) in compliance with 37 CFR 1.63 (6 pages);
2. A copy of the Notice to File Missing Parts of Application - Filing Date Granted.

The United States Patent and Trademark Office is hereby authorized to charge the following fees to Deposit Account **50-1074**;

1. Filing Fee - Original Patent Application (37 CFR 1.16(a)) \$740.00



09838126-101901

## 2. Fees for claims

- |                                     |  |         |
|-------------------------------------|--|---------|
| <input checked="" type="checkbox"/> | Each independent claim in excess of 3 (37 CFR 1.16(f)) | \$84.00 |
| <input checked="" type="checkbox"/> | Each claim in excess of 20 (37 CFR 1.16(c))            | \$36.00 |
| <input type="checkbox"/>            | Each multiple dependent claim (37 CR 1.16(d))          | \$**.00 |
| <input type="checkbox"/>            | Late Payment of filing fee - (37 CFR 1.16(e))          | \$00.00 |

Total Completion Fees	\$860.00
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## 3. Applicant petitions for an extension of time, the fees for which are set out in 37 CFR 1.17(a)-(d), for the total number of months checked below:

Extension (Months)      Fee for other than Small Entity

- |                          |              |            |
|--------------------------|--------------|------------|
| <input type="checkbox"/> | One Month    | \$110.00   |
| <input type="checkbox"/> | Two Months   | \$380.00   |
| <input type="checkbox"/> | Three Months | \$870.00   |
| <input type="checkbox"/> | Four Months  | \$1,360.00 |

If an additional extension of time is required, please consider this a petition therefor.

4. Late filing of original declaration or oath (37 CFR 1.16(e))      \$130.00

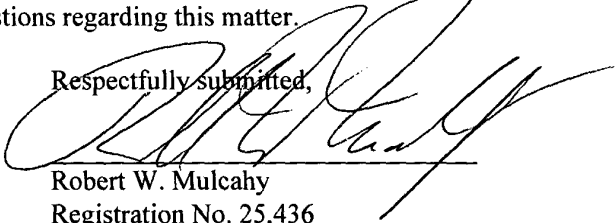
5. Total fees due from items 1-4:      \$990.00

6. The Commissioner is hereby authorized to charge total fees due and any additional fees which may be required, or credit any overpayment, to Deposit Account No. **50-1074**.

7. As for the requirement of substitute drawings, applicant's attorney, Kenneth C. Brooks, has been in telephone communication with the Chief Draftsman and has ascertained that the eight sheets of formal drawings as submitted on June 1, 2001 are correct and no substitute drawings are required.

It is hereby respectfully submitted that the enclosed documents complete the filing of the above-named patent application and justify the filing date of **April 20, 2001**. Please telephone the undersigned at (408) 563-2726, if there are any questions regarding this matter.

Respectfully submitted,


  
Robert W. Mulcahy
   
Registration No. 25,436

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

 to: Box MISSING PARTS  
 Commissioner for Patents  
 Washington, D.C. 20231

on

October 15, 2001


  
Sheri Griffin

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/838,126	04/20/2001	David Trost	5524/ESI-00-12

CONFIRMATION NO. 8689

## FORMALITIES LETTER



\*OC000000006425556\*

PATENT COUNSEL  
APPLIED MATERIALS, INC.  
Legal Affairs Department  
P.O. Box 450A  
Santa Clara, CA 95052

REC'D AUG 21 2001

Date Mailed: 08/15/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*

- Total additional claim fee(s) for this application is \$116.

- \$36 for 2 total claims over 20.
  - \$80 for 1 independent claims over 3.

10/23/2001	MBERHE	00000058	501074	09838126
01	FC:101	740.00	CH	
02	FC:105	130.00	CH	
03	FC:103	36.00	CH	
04	FC:102	84.00	CH	

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 956.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice MUST be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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